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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	1	Application Number	09/551,256
		Filing Date	April 18, 2000
		First Named Inventor	Georgis, Steven P.
		Group Art Unit	
		Examiner Name	
Attorney Docket Number		019930000200	

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP	
	David N. Stone	Reg No. 28,572
Signature	<i>David Stone</i>	
Date	August 24, 2001	

CERTIFICATE OF MAILING

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PATENT
Attorney Docket No.: 019930-000200US

On August 24, 2001
By: M. Gene Lube

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STEVEN P. GEORGIS et al.

Application No.: 09/551,256

Filed: April 18, 2000

For: WAVELENGTH MONITOR FOR
WDM SYSTEMS

Examiner:

Art Unit:

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The reference cited on attached form PTO-1449 is being called to the attention of the Examiner. A copy of the reference is enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT Application (PCT/US01/09442).


Applicant would like to point out that there are 3 documents listed on the Search Report, two of which (U.S. 5,414,540 (Patel) and 5,745,271 (Ford et al.)) have been previously cited in an Information Disclosure Statement for this application. Therefore, Applicant is only bringing the new reference, U.S. 6,204,946 (Aksyuk et al.), to the Examiner's attention. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



David N. Slone
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